

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

The ComEd® Energy Efficiency Program offers incentives to help Grocery facilities save money and energy by improving the efficiency of their equipment. The Grocery offering provides technical services and assistance in identifying, assessing and implementing energy efficiency measures.

How to Apply

1. Check project and equipment eligibility

- All proposed equipment must meet or exceed specifications described in the measure specifications documents

2. Submit a pre-approval application

- A pre-approval application is required for all projects. Submit a separate application for each ComEd account number.
- Required documents include (but are not limited to):
 - Completed application, with Estimated Cost & Savings (page 2 and 3 of this application)
 - W-9 form for payee (Customer or Service Provider)
 - Specification sheets for proposed equipment
 - Quote or project proposal
 - Measure Detail spreadsheet
- Wait until your application is approved before removing equipment and starting your project. You will receive a reservation letter stating that your project has been approved and the total incentive reserved.

3. Install equipment or perform project work

- The incentive reservation allows 60 days to complete your project and submit the final application. Contact the program team if you think your project will require more than 60 days.

4. Submit final application documents

- Required documents include (but are not limited to):
 - Completed application, with Final Cost & Savings (page 4 of this application)
 - Specification sheets for installed equipment (if different from pre-approval)
 - Dated and itemized invoices listing the type and quantity of installed equipment
 - Measure Detail spreadsheet (final)

5. Receive incentive payment

- When your final application is approved by the program team, an incentive check will be mailed to you or your Energy Efficiency Service Provider (must be in good standing to receive incentive payments).
- **Effective January 1, 2018, the ComEd Energy Efficiency Program will be responsible for verifying that an installing contractor is certified by the ICC for the installation of applicable measures prior to any incentives or rebate payout.**
- **Beginning June 1, 2019, ComEd will be responsible for verifying that certified contractors are recertified with the ICC. Payment will be withheld if the installing contractor is not recertified by June 1 each year. This does not apply to customers who choose to self-install the applicable measure(s). More information concerning the ICC certification and recertification requirements, instructions, and rules can be found at: [ICC EE Installer Certification](#).**

Applications should be submitted by ONE of the following methods:

- Submit your application and documents to your Account Manager.
- Questions? Visit ComEd.com/Grocery, email BusinessEE@ComEd.com or call 855-433-2700.

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

CUSTOMER INFORMATION

CUSTOMER NAME	APPLICATION DATE		
INSTALLATION SITE NAME (IF DIFFERENT FROM COMPANY NAME)	SQ. FT. (COVERED BY THIS APPLICATION)		
CONTACT PERSON	PHONE NUMBER		
EMAIL	FAX NUMBER		
INSTALLATION SITE STREET ADDRESS	CITY	STATE	ZIP
MAILING ADDRESS (IF DIFFERENT)	CITY	STATE	ZIP
ELECTRIC COMPANY NAME	ELECTRIC ACCOUNT NUMBER (OR SUBMIT COPY OF ELECTRIC BILL)		

PROJECT INFORMATION

BUILDING TYPE (SELECT ONE): GROCERY BIG BOX CONVENIENCE STORE WAREHOUSE OTHER: _____

EXPECTED START DATE	EXPECTED COMPLETION DATE
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PAYMENT METHOD (W9 FORM – REQUIRED)

CHECK PAYABLE TO: <input type="checkbox"/> CUSTOMER	<input type="checkbox"/> SERVICE PROVIDER/INSTALLER
CUSTOMER TAX ID NUMBER	SERVICE PROVIDER TAX ID NUMBER

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

Project Installation Information

Please complete and sign page

- I acknowledge that pursuant to Section 16-128B of the Illinois Public Utilities Act, ComEd cannot issue certain incentives or rebates unless it is provided with:
 1. Certification that measures were self-installed by the ComEd customer, or
 2. Evidence that applicable measures were installed by an installer certified with the Illinois Commerce Commission.

As a ComEd customer, select how your project will be installed:

- I will use my own staff to self-install my project.
- I will use an Energy Efficiency Service Provider, contractor or subcontractor to install my project where the incentive per measure is less than \$300.
- I will use an ICC Certified Energy Efficiency Service Provider, contractor or subcontractor to install my project where the incentive per measure is \$300 or greater.

SERVICE PROVIDER/INSTALLER INFORMATION

SERVICE PROVIDER/INSTALLER	MAKE INCENTIVE CHECK PAYABLE TO	ATTENTION TO/CONTACT PERSON	
ICC CERTIFICATION NUMBER			
STREET ADDRESS	CITY	STATE	ZIP
PHONE NUMBER	EMAIL		

ESTIMATED COST & SAVINGS (PRE APPROVAL APPLICATION)

ESTIMATED MATERIALS COST		ESTIMATED KWH SAVINGS	
ESTIMATED LABOR COST		ESTIMATED THERM SAVINGS, IF AVAILABLE	
TOTAL ESTIMATED COST			
TOTAL INCENTIVE REQUESTED			

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

FINAL COST & SAVINGS (FINAL APPLICATION)			
FINAL MATERIALS COST		FINAL KWH SAVINGS	
FINAL LABOR COST		FINAL THERM SAVINGS, IF AVAILABLE	
TOTAL FINAL COST			
TOTAL INCENTIVE REQUESTED			

If there are any changes between pre-approval and final approval, please use this space to provide details:

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

Terms and Conditions

Commonwealth Edison Company (ComEd) offers Grocery incentives under the ComEd Energy Efficiency Program. These incentives are available to eligible non-residential facilities (grocery stores, big box stores, convenience stores and warehouses) for the implementation of cost-effective energy efficiency improvements.

Program Effective Dates

Program incentives are offered until approved funds are exhausted or December 31 of each program year, whichever comes first. The effective program dates are January 1, 2019, through December 31, 2019.

Subsequent program year budgets and plans will be made available toward the end of the existing program year. At the current time, ComEd has a commitment to provide this program through December 31, 2019.

Program and Project Eligibility

The program offers incentives, technical services and whole-building solutions to help businesses use energy more efficiently. Program incentives are available to non-residential customers within the ComEd service territory who pay into the Energy Efficiency Pricing Performance (Rider EEPP) and receive their electricity over ComEd wires, regardless of retail electric supplier. Funds are limited and subject to availability.

Under the Future Energy Jobs Act, customers who had an account with a load of 10MW or higher during the 12 months ending December 2018 will not contribute to, nor participate in, the ComEd Energy Efficiency Program.

Project requirements under the program include the following:

- Projects must involve new equipment installed at an existing facility that results in a permanent reduction in electrical energy usage (kWh).
- Equipment must be installed and operational.
- Replaced equipment must be permanently removed. All removed materials, including lamps and ballasts containing polychlorinated biphenyls (PCBs), must be permanently taken out of service and disposed of in accordance with local codes, applicable laws and regulations, and all applicable permits and inspections must be obtained and completed. Resale of replaced equipment is expressly forbidden.
- Any measures installed at a facility must be sustainable and provide 100 percent of the energy benefits as stated in the application for the life of the product. If the customer ceases to be a delivery service customer of ComEd, or removes the equipment or system at any time during the life of the product—without having replaced the equipment or system with an equally or more energy-efficient equipment or system during that time—the customer may be required to return a prorated amount of incentive funds to ComEd.

Projects that are NOT eligible for an incentive include, but are not limited to, the following:

- Projects that involve peak-shifting (and not kWh savings)
- Projects that repair or replace existing equipment with like equipment
- Projects receiving funding for the same equipment through any other Energy Efficiency Portfolio (EEP) programs offered by Ameren, Nicor, Peoples, North Shore
- Used and/or refurbished equipment

Publicity of Customer Participation

The customer grants to ComEd the right to use and reference for promotional and regulatory purposes the customer's participation in the offering, the details of the energy efficiency project and the energy savings, the amount of incentives paid to the customer, and any other information relating to the customer's participation in the offering.

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

Incentive Payment Limits

The total incentive paid through the Grocery offering cannot exceed 100 percent of the total project cost. If additional incentives are provided to the project from other public sources (such as the State Energy Program [SEP] or Illinois Clean Energy Community Foundation [ICECF]), the combined ComEd and other public source incentives cannot exceed 100 percent of the total project cost. The contractor labor costs can be considered in the project cost. Internal customer labor costs cannot be included in the project cost.

Application Review Process

A pre-approval application is required for all projects. Funds are reserved for the project when ComEd receives a complete pre-approval application and determines that the project meets the program eligibility requirements. Approval of a pre-approval application is not a guarantee that the final application will be approved or a guarantee of an incentive payment.

ComEd will review final applications for eligibility and completeness. Project documentation, including dated invoices for the purchase and installation of the measures and product specification sheets, is required. To qualify for 2019 incentives, final applications must be submitted no later than 30 days from project completion, or December 15, 2019, whichever date comes first. The location or customer name on the invoice must be consistent with the application information. The project invoice must provide sufficient detail to separate the project cost from the cost of other services, such as repairs and building code compliance. ComEd reserves the right to request additional supporting documentation as deemed necessary to ensure measure eligibility and verify that the expected energy savings will occur. I acknowledge and understand that it is necessary for ComEd to store, use and share the information contained in this application, as well as information collected in connection with this project. Therefore, I hereby authorize ComEd to collect, store and consider customer data for its internal and Program purposes and to share the customer data with third-party vendors/contractors who are doing work on ComEd's behalf.

Requested information could include equipment purchase dates, installation dates, proof that the equipment is operational, proof that customer has not received incentives from other sources for the same project, manufacturer specifications, warranty information and proof of customer co-payment.

Any customer who submits an incomplete application will be notified of deficiencies upon review of the application; the application will not be processed until all information is received.

ComEd may need to conduct inspections both before and after standard project implementations to verify equipment and operation conditions. The applicant is required to submit a pre-approval application while the existing equipment is still in operation to allow ComEd the opportunity to verify the existing equipment.

Inspections

ComEd reserves the right to inspect all projects to verify compliance with program rules and the accuracy of project documentation. This may include pre-installation and/or post-installation inspections, detailed lighting layout descriptions, metering, data collection, interviews and utility bill data analyses. Upon reasonable notice by ComEd, the customer must allow access to project documents and the facility where the measures were installed for a period of five years after receipt of an incentive payment by ComEd.

Tax Liability

Incentives may be taxable under federal and state tax codes and, if more than \$600, will be reported to the IRS unless the customer provides acceptable proof that it is exempt from payment of such applicable taxes. In any event, ComEd is not responsible for any taxes that may be imposed on your business as a result of your receipt of an incentive, or for providing legal or accounting advice regarding such. Customer should consult with its tax accountant or advisor regarding potential tax liability.

Disputes/Governing Law

This application shall be exclusively governed by and interpreted in accordance with the laws of the State of Illinois. Any litigation between the parties shall be prosecuted only in the state or federal courts located in Cook County, Illinois.

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

Toxic Materials

Neither ComEd, nor its consultants, contractors and/or subcontractors, shall have any responsibility for the discovery, presence, handling, removal, disposal of, or exposure to hazardous materials of any kind in connection with customer's facility, including without limitation, asbestos, asbestos products, PCBs or any other toxic substances.

Limitations Of Liability

The customer shall independently evaluate any advice or information offered by ComEd related to estimates of electricity savings or program costs and is solely responsible for the selection and implementation of Energy Conservation Measures (ECMs).

IN NO EVENT WILL ComEd, ITS CONSULTANTS, CONTRACTORS AND/OR SUBCONTRACTORS, BE LIABLE FOR THE CUSTOMER'S FAILURE TO ACHIEVE A SPECIFIED AMOUNT OF ENERGY SAVINGS, THE OPERATION OF CUSTOMER'S FACILITIES, OR ANY INCIDENTAL OR CONSEQUENTIAL DAMAGES OF ANY KIND IN CONNECTION WITH THIS AGREEMENT OR THE IMPLEMENTATION OF ECMs AT CUSTOMER'S FACILITIES. IN NO EVENT WILL ComEd BE LIABLE TO YOU FOR ANY LOST PROFITS, LOST SAVINGS OR INCIDENTAL, INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES, ARISING OUT OF YOUR PARTICIPATION IN THIS PROGRAM. THE STATE OF ILLINOIS EXEMPTS ITSELF FROM ANY CLAIMS, DEMAND, AND ACTIONS BASED UPON OR ARISING OUT OF ANY SERVICES PERFORMED FOR THE PROGRAM.

Each party hereby agrees to defend, indemnify and hold harmless the other party, its consultants, contractors and subcontractors, and their respective officers, employees, agents, assigns, and successors-in-interest ("Indemnified Parties") from and against any third-party claims, damages, losses, liability, costs (including attorney's fees and expenses) for bodily injury to any person (including death resulting therefrom) and property damage arising out of or in connection with the program, except to the extent such claims, damages, losses, liability and costs are caused by the other party.

Disclaimer

ComEd, in its sole discretion, may change any of the terms and/or conditions of this program upon thirty (30) days written notice to customer and/or Energy Efficiency Service Provider.

ComEd does not guarantee that certain energy savings will be achieved and does not make any warranties associated with the measures eligible for incentives under this program. ComEd has no obligations regarding, and does not endorse or guarantee, any claims, promises, representations, or work made or performed, or equipment furnished or installed by any contractors, subcontractors, or vendors that sell or install energy efficiency measures.

The customer shall be entitled to the energy cost savings realized by the customer that result from the installation of ECMs at the customer's site. In consideration of the services provided by ComEd as part of this program, the customer agrees that ComEd is entitled to 100 percent of the rights and benefits associated with the measures, including without limitation PJM products and all other attributes, credits or products associated therewith under any regional initiative or federal, state or local law, program or regulation, and customer waives, and agrees not to seek, any right to the same.

GROCERY PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

Pre-Approval Application Signature

I certify that all statements made in the application and appropriate documents are correct to the best of my knowledge and that I have reviewed the measures proposed, and acknowledge that they are appropriate for the type and purpose of the facility in which they will be installed. I also certify that I have read the Terms & Conditions in the application and agree to them, including those provisions regarding warranties, and I further understand and acknowledge that the offer to pay incentives is also subject to those Terms & Conditions.

CUSTOMER SIGNATURE (PRE-INSTALLATION)

SIGNATURE

DATE

Vendor/Installer Signature

I represent to the Company that I have reviewed the Measure Detail Report, attachments, and the measures proposed. They are, in my professional opinion, appropriate for the type and purpose of the facility in which they will be installed. The information contained in this application is true and accurate to the best of my knowledge.

VENDOR/INSTALLER SIGNATURE (PRE-INSTALLATION)

SIGNATURE

DATE

Final Approval Application Signature

I certify that the installation of the energy efficiency measures has been completed to my satisfaction and request that the incentive specified in this application be paid. I also understand that my release of the payment to an Energy Efficiency Service Provider/installer does not exempt me from the program requirements outlined in the measure specifications and terms and conditions.

- Check should go to customer
- Check should go to Energy Efficiency Service Provider/installer (page 2)

CUSTOMER SIGNATURE (POST-INSTALLATION)

SIGNATURE

DATE