

AGRICULTURAL PRE-APPROVAL AND FINAL APPLICATION

January 1, 2019 through December 31, 2019

The ComEd Energy Efficiency Program offers incentives to help farms and agribusinesses save money by improving the energy efficiency of their equipment and their facilities, from LED lighting fixtures to milk plate coolers.

How to Apply

1. Check project and equipment eligibility

- All installed equipment must meet or exceed specifications described in the incentive worksheets available at ComEd.com/Agriculture.

2. Submit a pre-approval application

- A pre-approval application is required for all projects. Submit a separate application for each ComEd account number.
- Refer to the checklist on page 2 for a list of required documents.
- If your application is approved you will receive a reservation letter stating that your project has been approved and the total incentive amount reserved. Wait until you receive this approval and reservation letter before beginning any work or removing any equipment.

3. Install equipment or perform project work

- The incentive reservation allows 90 days to complete your project and submit the final application. Contact the program team if you think your project will require more than 90 days.

4. Submit a final application

- Submit a final application as soon as your project is completed; refer to the checklist on page 2 for a list of required documents.
- Submit the required measurement and verification (M&V) data for applicable projects.

5. Receive incentive payment

- When your final application is approved by the program team, an incentive check will be mailed to you or your Energy Efficiency Service Provider (must be in good standing to receive incentive payments).
- **Effective January 1, 2018, the ComEd Energy Efficiency Program will be responsible for verifying that an installing contractor is certified by the ICC for the installation of applicable measures prior to any incentive or rebate payout.**
- **Beginning June 1, 2019, ComEd will be responsible for verifying that certified contractors are recertified with the ICC. Payment will be withheld if the installing contractor is not recertified by June 1 each year. This does not apply to customers who choose to self-install the applicable measure(s). More information concerning the ICC certification and recertification requirements, instructions and rules can be found at: [ICC EE Installer Certification](#).**

Applications should be submitted by ONE of the following methods:

- Email: BusinessEE@ComEd.com
(10MB file size limit; submit multiple emails if necessary)
- Fax: 773-853-2205
- Mail: The ComEd Energy Efficiency Program, 120 N. Racine Ave., Ste. 220, Chicago, IL 60607

Download incentive applications and worksheets at ComEd.com/Agriculture.

Questions? Call 855-433-2700 or contact the email address above.

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Directions: Please save a copy of this form to your computer by selecting “File/Save As” before entering text and numbers. Then fill in your information electronically and select “Save.” Note that this form requires Adobe Reader® version 11.0 to function properly. Download the most recent version of Adobe Acrobat Reader DC® at <http://get.adobe.com/reader>.

| CUSTOMER INFORMATION | | | |
|--|--|-------|-----|
| CUSTOMER NAME | TAXPAYER ID: FEIN (NOTE: MUST ATTACH W-9 FORM, REV NOV 2017) | | |
| ComEd ACCOUNT NUMBER | NAME AS IT APPEARS ON YOUR ENERGY BILL | | |
| CUSTOMER CONTACT NAME | TITLE | | |
| ADDRESS WHERE MEASURES INSTALLED | CITY | STATE | ZIP |
| MAILING ADDRESS, IF DIFFERENT THAN ABOVE | CITY | STATE | ZIP |

Complete the Pre-Approval and Final Application checklist section below and submit all required documents.

| PRE | FINAL | Attach the following (Incomplete applications will not be processed) |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | 1. Completed application checklist (page 2 of this application) |
| <input type="checkbox"/> | <input type="checkbox"/> | 2. Completed customer and installer information (pages 2, 3, 4 and 5 of this application) |
| <input type="checkbox"/> | <input type="checkbox"/> | 3. Scope of work (detailed description of project and proposed/installed equipment) |
| N/A | <input type="checkbox"/> | 4. Dated and itemized invoices listing the type and quantity of installed equipment, including final invoices billed to customer |
| <input type="checkbox"/> | <input type="checkbox"/> | 5. Specification sheets for all proposed/installed equipment |
| <input type="checkbox"/> | <input type="checkbox"/> | 6. Applicable incentives worksheet(s) |
| N/A* | <input type="checkbox"/> | 7. Customer W-9 form; Energy Efficiency Service Provider W-9 form (if applicable) |
| <input type="checkbox"/> | <input type="checkbox"/> | 8. Energy Efficiency Service Provider payment authorization (if applicable) |

* Submitting a W-9 during pre-application is strongly encouraged to avoid delays in final application processing. If a W-9 is submitted during pre-application, it does not need to be submitted again with the final application.

AGRICULTURAL APPLICANT INFORMATION

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| Pre-Approval Application | Final Application |
|---------------------------|--------------------------|
| ESTIMATED PROJECT COST | TOTAL PROJECT COST |
| TOTAL INCENTIVE REQUESTED | TOTAL INCENTIVE RECEIVED |
| ESTIMATED COMPLETION DATE | ACTUAL COMPLETION DATE |

Business Property Type (Select one only. If applying for both existing and new construction equipment incentives, complete two separate applications.)

Existing
 New Construction

Select one (1) property type that best describes your business:

Dairy *If dairy, how many milking cows do you have? (including dry cows, excludes heifers not yet fresh)* _____
 Livestock | Greenhouses | Indoor Agriculture | Crop Farming
 Other: _____

Below are some project examples:

| | | |
|--|---|---|
| Indoor and Outdoor Lighting Fixtures and Controls High-Speed Exhaust and Ventilation/Circulation Fans | Compressed Air System Optimization Refrigeration System Optimization Engine Block Timer | Thermally-Insulated Livestock Waterer Agriculture-Specific Projects Other |
|--|---|---|

For more information on eligible measures, incentive levels and technical specifications, please refer to the Agricultural Incentive Worksheet.

ENERGY EFFICIENCY SERVICE PROVIDER (Primary point of contact for your project)

ENERGY EFFICIENCY SERVICE PROVIDER COMPANY

| | | | |
|---|-------|-------|-----|
| ENERGY EFFICIENCY SERVICE PROVIDER NAME | TITLE | | |
| EMAIL | PHONE | | |
| ADDRESS | CITY | STATE | ZIP |

AGRICULTURAL INSTALLER INFORMATION

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Project Installation Information

Please complete and sign page

Please be aware that legislation requires ComEd to collect project installation information for projects completed after January 1, 2018. If your project was completed after January 1, 2019, please complete and sign page 5.

- I acknowledge that pursuant to Section 16-128B of the Illinois Public Utilities Act, ComEd cannot issue certain incentives or rebates unless it is provided with:
1. Certification that measures were self-installed by the ComEd customer, or
 2. Evidence that applicable measures were installed by an installer certified with the Illinois Commerce Commission.

As a ComEd customer, select how your project will be installed:

- I will use my own staff to self-install my project.
- I will use an Energy Efficiency Service Provider, contractor or subcontractor to install my project where the incentive per measure is less than \$300.
- I will use an ICC Certified Energy Efficiency Service Provider, contractor or subcontractor to install my project where the incentive per measure is **\$300 or greater (complete the installer information on page 5 of this application)**.

INSTALLER INFORMATION (Please provide complete details for ALL installers involved in your project. Insert additional pages if needed.)

| | | | |
|--|--------------|-------|-----|
| INSTALLER COMPANY | CONTACT NAME | | |
| ADDRESS | CITY | STATE | ZIP |
| EMAIL | PHONE | | |
| MEASURES (e.g., LED fixtures, HVAC controls) | | | |

| | | | |
|--|--------------|-------|-----|
| INSTALLER COMPANY | CONTACT NAME | | |
| ADDRESS | CITY | STATE | ZIP |
| EMAIL | PHONE | | |
| MEASURES (e.g., LED fixtures, HVAC controls) | | | |

AGRICULTURAL INSTALLER INFORMATION

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Payment Preference

ComEd offers the option to assign your project's incentive payment to an eligible Energy Efficiency Service Provider. Please complete the Energy Efficiency Service Provider Payment Authorization and submit it with your final application if you are interested in this option.

I am authorizing the payment of the incentive to the Energy Efficiency Service Provider named below and I understand that I will not be receiving the incentive payment from ComEd. I also understand that my release of the payment to a Energy Efficiency Service Provider does not exempt me from the program requirements outlined in the measure specifications and terms and conditions.

If the contractor is not an Energy Efficiency Service Provider in good standing, the ComEd Energy Efficiency Program incentive payment will be sent to the ComEd customer.

- Check should go to customer
- Check should go to Energy Efficiency Service Provider

ENERGY EFFICIENCY SERVICE PROVIDER PAYMENT AUTHORIZATION

| | |
|-----------------------|---|
| CUSTOMER SIGNATURE | CUSTOMER TITLE AND/OR RELATIONSHIP TO COMPANY |
| CUSTOMER PRINTED NAME | DATE |

Customer Signature

Required at Pre-Application and Final Application

I certify that the information provided in this application is true and correct. I have read and understand the program requirements and terms and conditions set forth in this application and agree to abide by these requirements. I verify by my signature hereto that I have authority to submit this application.

ComEd CUSTOMER AUTHORIZED SIGNATURE

| | |
|--------------|--------------------------------------|
| SIGNATURE | TITLE AND/OR RELATIONSHIP TO COMPANY |
| PRINTED NAME | DATE |

AGRICULTURAL TERMS AND CONDITIONS

January 1, 2019 through December 31, 2019

Commonwealth Edison Company (ComEd) is offering incentives under the ComEd Energy Efficiency Program to facilitate the implementation of cost-effective energy efficiency improvements for eligible agricultural customers.

Program Effective Dates

Program incentives are offered until approved funds are exhausted or December 31 of each program year, whichever comes first. The effective program dates are January 1, 2019, through December 31, 2019.

Subsequent program year budgets and plans will be made available toward the end of the existing program year. At the current time, ComEd has a commitment to provide this program through December 31, 2019.

Program and Project Eligibility

The program offers incentives, technical services and whole-building solutions to help businesses use energy more efficiently. Program incentives are available to all non-residential customers (these terms do not apply to individual low income) within the ComEd service territory who pay into the Energy Efficiency Pricing Performance (Rider EEPP) and receive their electricity over ComEd wires, regardless of retail electric supplier. Funds are limited and subject to availability.

Under the Future Energy Jobs Act, customers who had an account with a load of 10MW or higher during the 12 months ending December 2018, will not contribute to, nor participate in, the ComEd Energy Efficiency Program.

Project requirements under the program include the following:

- Projects must involve new equipment installed at an existing facility that results in a permanent reduction in electric energy usage (kWh).
- Equipment must be installed and operational.
- Replaced equipment must be permanently removed. All removed materials, including lamps and ballasts containing polychlorinated biphenyls (PCBs), must be permanently taken out of service and disposed of in accordance with local codes and ordinances. Resale of replaced equipment is expressly forbidden.
- Any measures installed at a facility must be sustainable and provide 100 percent of the energy benefits as stated in the application for a period of five years or for the life of the product, whichever is less. If the customer ceases to be a delivery service customer of ComEd, or removes the equipment or system at any time during the five-year period or the life of the product—without having replaced the equipment or system with an equally or more energy-efficient equipment or system during that time—the customer may be required to return a prorated amount of incentive funds to ComEd.
- Custom projects must involve measures that result in a reduction in electric energy usage due to an improvement in system efficiency. Projects that result in reduced energy consumption without an improvement in system efficiency are not eligible for a custom incentive. However, projects that involve an automated control technology, such as energy management system programming, may be eligible for an incentive. All projects must meet ComEd cost-effectiveness requirements.

Projects that are NOT eligible for an incentive include, but are not limited to, the following:

- Fuel switching (e.g., electric to gas or gas to electric) that does not result in a reduction of total BTUs of electricity and natural gas;
- On-site electricity generation (except as part of a qualifying combined heat and power project);
- Projects involving gas-driven equipment in place of electric equipment (such as a chiller);
- Projects using energy savings devices that do not demonstrate measurable and verifiable energy and/or demand savings, including power conditioning (power factor correction, harmonic current reduction, transient voltage protection, lighting voltage regulation or other power-conditioning measures such as interruptible power supplies, rectifiers, etc.) or black box solutions (software, hardware, system or material in which the efficiency is not supported with ComEd accepted certified test results);
- Projects that involve peak-shifting (and not kWh savings);
- Renewables;
- Projects that repair or replace existing equipment with like equipment;
- Projects for the sole purpose of implementing demand response measures;

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- Projects receiving funding for the same equipment through any other Energy Efficiency Portfolio (EEP) programs offered by Ameren, Nicor Gas, Peoples Gas and North Shore Gas;
- Custom projects with simple paybacks greater than the equipment life;
- Used and/or refurbished equipment;
- Type A and Type B linear LED lamps that are DLC® listed; you may receive incentives only through Instant Discounts;
- Wall packs and commercial food service equipment are eligible for standard or instant discount incentives. Customers who receive an instant discount incentive through a participating distributor are not eligible to receive a standard incentive or vice versa.
- Customers who receive an instant discount incentive through a participating distributor for HID LEDs are not eligible to receive a streetlighting incentive or vice versa.

Incentive Payment Limits

Total incentives paid cannot exceed 100 percent of the incremental measure cost or 75 percent of the total project cost. If additional incentives are provided to the project from other public sources (such as the State Energy Program (SEP) or Illinois Clean Energy Community Foundation (ICECF)), the combined ComEd and other public source incentives cannot exceed 100 percent of the total project cost. For incentives, contractor labor costs can be considered in the project cost. Internal customer labor costs cannot be included in the project cost. The definition of incremental measure cost depends on whether the measure being installed is considered to be a replacement or a retrofit. For replacement measures, the incremental measure cost is defined as the cost to purchase and install the energy efficient equipment minus the cost to purchase and install similar equipment that would meet federal and local energy standards but not qualify for an incentive under this program. For retrofit measures, the incremental measure cost is simply the cost to purchase and install the qualifying measures. Contractor labor costs can be considered in the project cost. Internal customer labor costs cannot be included in the project cost.

Application Review Process

A pre-approval application is required for all projects. Funds are reserved for the project when ComEd receives a complete pre-approval application and determines that the project meets the program eligibility requirements. Approval of a pre-approval application is not a guarantee that the final application will be approved or a guarantee of an incentive payment.

ComEd will review final applications for eligibility and completeness. The final application must be submitted within 60 days of project completion. Project documentation, including dated invoices for the purchase and installation of the measures and product specification sheets, is required. To qualify for 2019 incentives, final applications must be submitted no later than 60 days from project completion, or February 28, 2020, whichever date comes first. The location or customer name on the invoice must be consistent with the application information. The project invoice must provide sufficient detail to separate the project cost from the cost of other services, such as repairs and building code compliance. ComEd reserves the right to request additional supporting documentation as deemed necessary to ensure measure eligibility and verify that the expected energy savings will occur. I acknowledge and understand that it is necessary for ComEd to store, use and share the information contained in this application, as well as information collected in connection with this project. Therefore, I hereby authorize ComEd to collect, store and consider customer data for its internal and Program purposes and to share the customer data with third-party vendors/contractors who are doing work on ComEd's behalf.

Requested information could include equipment purchase dates, installation dates, proof that the equipment is operational, manufacturer specifications, warranty information and proof of customer co-payment.

Any customer who submits an incomplete application will be notified of deficiencies upon review of the application; the application will not be processed until all information is received. Customers are encouraged to call the program hotline at 855-433-2700 if they have any questions about documentation requirements.

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ComEd may need to conduct inspections both before and after project implementations to verify equipment and operation conditions. The applicant is required to submit a pre-approval application while the existing equipment is still in operation to allow ComEd the opportunity to verify the existing equipment.

Requirements For Custom Project: Electricity Savings Calculation

The annual electricity savings must be calculated for custom projects using industry-accepted engineering algorithms or simulation models. The applicant must estimate the annual electricity usage of both the existing and proposed equipment based on the current operation of the facility. If the existing equipment is at the end of its useful life, the applicant must substitute equipment that would meet the applicable federal and local energy codes when calculating the annual energy savings.

The applicant must be able to clearly describe the method used to calculate the savings. The applicant must provide all assumptions used in the calculations and document the source for these assumptions.

The method and assumptions used by the applicant to calculate the annual savings will be reviewed by ComEd. ComEd is solely responsible for the final determination of the annual energy savings to be used in calculating the incentive amount. ComEd also reserves the right to require specific measurement and verification activities including monitoring both before and after the retrofit and to base any resulting incentive payment on the results of these activities.

Inspections

ComEd reserves the right to inspect all projects to verify compliance with program rules and the accuracy of project documentation. This may include pre-installation and/or post-installation inspections, detailed lighting layout descriptions, metering, data collection, interviews and utility bill data analyses. Upon reasonable notice by ComEd, the customer must allow access to project documents and the facility where the measures were installed for a period of five years after receipt of an incentive payment by ComEd.

Tax Liability

Incentives may be taxable under federal and state tax codes and, if more than \$600, will be reported to the IRS unless the customer provides acceptable proof that it is exempt from payment of such applicable taxes. In any event, ComEd is not responsible for any taxes that may be imposed on your business as a result of your receipt of an incentive, or for providing legal or accounting advice regarding such. Customer should consult with its tax accountant or advisor regarding potential tax liability.

Disputes/Governing Law

This application shall be exclusively governed by and interpreted in accordance with the laws of the State of Illinois. Any litigation between the parties shall be prosecuted only in the state or federal courts located in Cook County, Illinois.

Toxic Materials

Neither ComEd, nor its consultants, contractors and/or subcontractors, shall have any responsibility for the discovery, presence, handling, removal, disposal of, or exposure to hazardous materials of any kind in connection with customer's facility, including without limitation, asbestos, asbestos products, PCBs or any other toxic substances.

Limitations Of Liability

The customer shall independently evaluate any advice or information offered by ComEd related to estimates of electricity savings or program costs and is solely responsible for the selection and implementation of Energy Conservation Measures (ECMs).

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IN NO EVENT WILL COMED, ITS CONSULTANTS, CONTRACTORS AND/OR SUBCONTRACTORS, BE LIABLE FOR THE CUSTOMER'S FAILURE TO ACHIEVE A SPECIFIED AMOUNT OF ENERGY SAVINGS, THE OPERATION OF CUSTOMER'S FACILITIES, OR ANY INCIDENTAL OR CONSEQUENTIAL DAMAGES OF ANY KIND IN CONNECTION WITH THIS AGREEMENT OR THE IMPLEMENTATION OF ECMs AT CUSTOMER'S FACILITIES. IN NO EVENT WILL COMED BE LIABLE TO YOU FOR ANY LOST PROFITS, LOST SAVINGS OR INCIDENTAL, INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES, ARISING OUT OF YOUR PARTICIPATION IN THIS PROGRAM. THE STATE OF ILLINOIS EXEMPTS ITSELF FROM ANY CLAIMS, DEMAND, AND ACTIONS BASED UPON OR ARISING OUT OF ANY SERVICES PERFORMED FOR THE PROGRAM.

Each party hereby agrees to defend, indemnify and hold harmless the other party, its consultants, contractors and subcontractors, and their respective officers, employees, agents, assigns, and successors-in-interest ("Indemnified Parties") from and against any third party claims, damages, losses, liability, costs (including attorney's fees and expenses) for bodily injury to any person (including death resulting therefrom) and property damage arising out of or in connection with the program, except to the extent such claims, damages, losses, liability and costs are caused by the other party.

Disclaimer

ComEd, in its sole discretion, may change any of the terms and/or conditions of this program upon 30 days written notice to customer and/or Energy Efficiency Service Provider.

ComEd does not guarantee that certain energy savings will be achieved and does not make any warranties associated with the measures eligible for incentives under this program. ComEd has no obligations regarding, and does not endorse or guarantee, any claims, promises, representations, or work made or performed, or equipment furnished or installed by any contractors, subcontractors, or vendors that sell or install energy efficiency measures.

The customer shall be entitled to the energy cost savings realized by the customer that result from the installation of ECMs at the customer's site. In consideration of the services provided by ComEd as part of this program, the customer agrees that ComEd is entitled to 100 percent of the rights and benefits associated with the measures, including without limitation PJM products and all other attributes, credits or products associated therewith under any regional initiative or federal, state or local law, program or regulation, and customer waives, and agrees not to seek, any right to the same.